

Attorney Docket No. 4759.224-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeppesen et al.

Serial No.: 09/940,963

Group Art Unit: To be assigned

Filed: August 28, 2001

Examiner: To be assigned

Confirmation No.: 7017

For: Heterocyclic Compounds and their Preparation and Use

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents  
Washington, DC 20231

Sir:

I hereby certify that the attached correspondence comprising:

1. Petition and Fee For Extension of Time (in duplicate)
2. Response to Notice of Incomplete Reply (Nonprovisional), and Notice to File Missing Parts
3. Copies of Notice to File Missing Parts (dated 11/30/01 and 9/18/01)
4. Abstract of Disclosure

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on December 13, 2001.

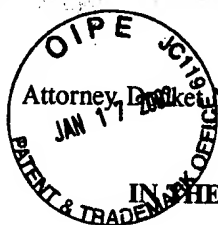
Carol McFarlane-Fishberg  
(name of person mailing paper)

Carol McFarlane-Fishberg  
(signature of person mailing paper)



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PATENT TRADEMARK OFFICE



Attorney Docket No.: 4759.224-US

CONFIDENTIAL  
(UNCLASSIFIED)

PATENT

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**RESPONSE TO NOTICE OF INCOMPLETE REPLY  
(NONPROVISIONAL), AND NOTICE TO FILE MISSING PARTS**

Commissioner for Patents  
Washington, DC 20231

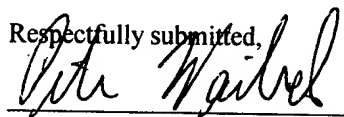
Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) dated November 30, 2001, and the Notice to File Missing Parts dated September 18, 2001 (copies thereof is attached hereto), Applicants submit the Abstract of the Disclosure on a separate page.

Please charge any debits or overpayments to Novo Nordisk of North America, Inc., Deposit Account No. 14-1447.

Date: December 13, 2001

Respectfully submitted,

  
Peter J. Waibel, Reg. No. 43,228  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123



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PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,963	08/28/2001	Lone Jeppesen	4759.224-US

Reza Green, Esq.  
Novo Nordisk of North America, Inc.  
Suite 6400  
405 Lexington Avenue  
New York, NY 10174-6401

CONFIRMATION NO. 7017

FORMALITIES LETTER



\*OC000000007142716\*

Date Mailed: 11/30/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on 11/23/2001 to the Notice to File Missing Parts (Notice) mailed 09/18/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Abstract must be on a separate sheet.

*A copy of this notice **MUST** be returned with the reply.*

*Request Woldeyes*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,963	08/28/2001	Lone Jeppesen	4759.224-US

Reza Green, Esq.  
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Suite 6400  
405 Lexington Avenue  
New York, NY 10174-6401

CONFIRMATION NO. 7017  
FORMALITIES LETTER

\*OC000000006587653\*

Date Mailed: 09/18/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE